



State of Arizona

Department of Education

Request For Proposal

Cover Page

Solicitation Number:

ED05-0062

Solicitation Due Date / Time:

March 24, 2005, at 3:00 p.m. Mountain Standard Time

Submittal Location:

**Arizona Department of Education
Procurement Section/3rd Floor
1535 West Jefferson Street, Bin #37
Phoenix, Arizona 85007**

Description of Procurement:

**Reform Agencies to Serve Schools Who Fail to
Meet Academic Standards (Statewide)**

A Pre-Proposal Conference for this solicitation will be held March 15, 2005 at 2:05 p.m. M.S.T. in Room 417, 1535 W. Jefferson St, Phoenix, Arizona 85007.

In accordance with A.R.S. § 41-2534, competitive sealed proposals for the materials or services specified will be received by the Arizona Department of Education's Contracts Management Unit at the above specified location until the time and date cited. Offers received by the correct time and date will be opened and the name of each Offer will be publicly read.

Offers must be in the actual possession of the Arizona Department of Education's Contracts Management Unit on or prior to the time and date, and at the submittal location indicated above. ***Late offers will not be considered.***

Offers must be submitted in a sealed envelope or package with the Solicitation Number: ED05-0062 and the Offeror's name and address clearly indicated on the envelope or package. All offers must be completed in ink or typewritten. Additional instructions for preparing an offer are included in this Solicitation.

Persons with disabilities may request special accommodations such as interpreters, alternate formats, or assistance with physical accessibility. Requests for special accommodations must be made with 72 hours prior notice. Such requests are to be addressed to the Solicitation Contact Person or Procurement Officer.

OFFERORS ARE STRONGLY ENCOURAGED TO CAREFULLY READ THE ENTIRE SOLICITATION.

A handwritten signature in cursive script that reads "Richard Adickes".

Procurement Officer

(602) 364-2517

Telephone Number

03/02/2005

Date

OFFER AND AWARD



ARIZONA DEPARTMENT OF EDUCATION
Procurement Section
1535 West Jefferson Street, Bin #37
Phoenix, Arizona 85007

SOLICITATION NUMBER: ED05-0062

OFFER

The Undersigned hereby offers and agrees to furnish the materials, service(s) or construction in compliance with all the terms, conditions, specifications and amendments in the solicitation.

Company Name

Name of Person Authorized to Sign Offer

Street Address

Title of Authorized Person

City State Zip Code

Signature of Authorized Person Date of Offer

Telephone Number: _____

Facsimile Number: _____

Offeror's Arizona Transaction (Sales) Privilege Tax License Number: _____

Offeror's Federal Employer Identification Number: _____

Acknowledgement of Amendment(s):
(Offeror acknowledges receipt of amendment(s) to the Solicitation for Offers and related documents numbered and dated

Amendment No. Date

Amendment No. Date

ACCEPTANCE OF OFFER AND CONTRACT AWARD

(For State of Arizona Use Only)

Your Offer, dated _____, is hereby accepted as described in the Notice of Award. You are now bound to perform based upon the solicitation and your Offer, as accepted by the State.

This Contract shall henceforth be referred to as Contract Number _____.

You are hereby cautioned not to commence any billable work or provide any material, service or construction under this contract until you receive an executed purchase order, contract release document, or written notice to proceed, if applicable.

State of Arizona

Awarded this _____ day of _____

Douglas C. Peeples, CPPB, CPCM
Procurement Officer

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Contract Management Unit
1535 West Jefferson Street, Bin #37
Phoenix, Arizona 85007

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SECTION 1

SCOPE OF WORK

ARIZONA DEPARTMENT OF EDUCATION
Procurement Section
1535 West Jefferson Street, Bin #37
Phoenix, Arizona 85007

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1. **Purpose**

As required by A.R.S. §15-241, the Arizona Department of Education (ADE), the State Board of Education and/or Local Education Agencies (LEA) propose to contract with organizations that provide management and leadership for Arizona Schools that have been designated as Failing to Meet Academic Standards. The focus for this intervention is at the school level, not the district. This initiative provides direct services for schools Failing to Meet Academic Standards in the areas of diagnosing the academic needs of a school, developing curriculum aligned with the Arizona Academic Standards, utilizing assessment strategies that are in alignment with the curriculum of a school, utilizing identifiable benchmarks and appropriate timelines to determine school progress, providing a professional development program for staff that is aligned with the school improvement plan, developing and utilizing strategies for involving staff, parents and community members as partners in the school improvement process, developing and utilizing strategies for communicating growth in student performance to all stakeholder groups and providing guidelines for the long-term sustainability of increased student academic performance. Proposals must include both an emphasis on sustaining increased student academic performance and the exiting of the intervention management team. Implementation of all initiatives, activities and administration of reform strategies must operate within the budget allocated to the specified school Failing to Meet Academic Standards.

2. **Background**

Arizona schools that have been designated as Failing to Meet Academic Standards have been provided assistance from the Arizona Department of Education through site visits from Solutions Teams and Arizona School Site Improvement Support Team (ASSIST) Coaches. The Solutions Teams interviewed site and district personnel as well as parents and involved community members. The Solutions Teams summarized their work in a Statement of Findings that included a prioritized list of recommendations. The ASSIST Coaches worked with the school and district leadership to help develop and implement an action plan that addressed the list of recommendations for improvement. The ADE has provided some training for some schools and site leadership, with specific in-service, to address making data-driven instructional decisions, a series of summits addressing best practices, researched instructional strategies for improving student achievement, and developing leadership for bringing about change.

3. **Requirements.**

- 3.1. The Offeror/Contractor shall provide valid, reliable and research-based school restructuring and reform interventions for the purpose of implementing
 - 3.1.1. Strategies for diagnosing the academic needs of a “Failing To Meet Academic Standards” school.
 - 3.1.1.1. Complete academic needs assessments for schools “Failing to Meet Academic Standards.
 - 3.1.1.2. Develop a plan for continuous academic improvement of students.
 - 3.1.1.3. Implement a plan for continuous academic improvement
 - 3.1.1.4. Assess school’s budget prioritization to determine effectiveness of support for academic programs.
 - 3.1.2. Curriculum aligned with the Arizona Academic Standards.
 - 3.1.2.1. Review School/district curriculum guides
 - 3.1.2.2. Review State curriculum/academic standards
 - 3.1.2.3. Make necessary changes to bring about alignment of curriculum with State Standards.
 - 3.1.2.4. Develop procedure for engaging staff in collaboratively aligning School/district curriculum.
 - 3.1.3. Assessment strategies that are in alignments with the curriculum of a school.
 - 3.1.3.1. Review/Develop School/District assessment plan
 - 3.1.3.2. Review/Develop District curriculum guides
 - 3.1.3.3. Make necessary changes to bring about alignment of assessment plan and School/District curriculum.

SECTION 1 SCOPE OF WORK

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- 3.1.3.4. Develop procedure for engaging staff in collaboratively aligning assessment plan with School/District curriculum.
- 3.1.4. Identifiable benchmarks and intermittent timelines to determine student progress.
 - 3.1.4.1. Develop a procedure for monitoring the academic progress of students.
 - 3.1.4.2. Identify benchmarks that will indicate academic improvement
 - 3.1.4.3. Monitor progress and make revisions in the improvement plan as necessary to continue improvement.
 - 3.1.4.4. Develop procedure for engaging staff in collaboratively identifying benchmarks that determine student progress.
 - 3.1.4.5. Develop strategies that will ensure staff use of benchmark data for instructional modification towards continued improvement.
- 3.1.5. Professional development programs for staff that are aligned with the school improvement plan.
 - 3.1.5.1. Provide professional development activities that offer the opportunity for staff to develop and practice teaching strategies that will bring about improved student performance in identified areas of needs.
 - 3.1.5.2. Provide teachers with on-going, job embedded research-based training/professional development to continuously improve and update their subject matter content, teaching and learning methodology.
 - 3.1.5.3. Engage staff in collaborative decision-making groups to determine professional development activities that are aligned with the school improvement plan.
 - 3.1.5.4. Provide follow-up activities that offer opportunities for feedback regarding modified teaching practices.
 - 3.1.5.5. Provide activities that will assist staff in demonstrating ownership for the institutionalization of on-going professional development.
- 3.1.6. Strategies for involving staff, parents and community members as partners in the school improvement process.
 - 3.1.6.1. Determine the interest and ability of individual stakeholders to become involved as partners in the school improvement process.
 - 3.1.6.2. Develop a procedure for involving all stakeholders in the improvement process.
 - 3.1.6.3. Implement the procedure for involving all stakeholders in the improvement process.
 - 3.1.6.4. Communicate steps taken in the improvement process to all stakeholders.
- 3.1.7. Strategies for communicating growth in student performance to all stakeholder groups.
 - 3.1.7.1. Review site/district methods for communicating growth in student performance to all stakeholders.
 - 3.1.7.2. Develop (if necessary) effective methods for communicating growth in student performance to all stakeholders.
 - 3.1.7.3. Implement methods for on-going communication of growth in student performance to all stakeholders.
- 3.2. A written report summarizing the steps taken to implement a continuous improvement plan and the academic progress of students in the school.
 - 3.2.1. Submit a comprehensive monthly report to the Accountability Unit of ADE regarding progress toward the School's goals.
 - 3.2.2. Meet with members of Accountability Unit to discuss report of School's progress.
 - 3.2.3. Establish criteria for exiting the management of the school, and guidelines for the long-term sustainability of increased student academic performance.
 - 3.2.3.1. Contractor should maintain management of school no longer than necessary to bring the school's achievement profile to the "Performing" level as measured by AZ LEARNS for two consecutive years.
 - 3.2.3.2. Develop criteria for determining the school's capacity to sustain improved student performance.
- 3.3. Contractor should maintain management of school no longer than necessary to build capacity within the site to continue improvement of student performance within a projected timeline and estimated finish date.

SECTION 2 SPECIAL TERMS AND CONDITIONS

ARIZONA DEPARTMENT OF EDUCATION
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1. **Definition of Terms Used in these Special Terms and Conditions.** As used in these Special Terms and Conditions, the following terms, in addition to those terms defined in Section 3, Paragraph 1, have the following meaning:

- A. "ADE" means the Arizona Department of Education.
- B. "Contract Price" means the total amount of the Contract for the price payable for the estimated quantities.
- C. "Department" means the Arizona Department of Education.
- D. "Services" means services performed, workmanship and material furnished or used in the performance of services.
- E. "LEA" means Local Education Agency, i.e. the local school district.
- F. "School" means the local school site.

2. **Indemnification.**

Contractor shall indemnify, defend, save and hold harmless the State of Arizona, its departments, agencies, boards, commissions, universities and its officers, officials, agents, and employees (hereinafter referred to as "Indemnitee") from and against any and all claims, actions, liabilities, damages, losses, or expenses (including court costs, attorneys' fees, and costs of claim processing, investigation and litigation) (hereinafter referred to as "Claims") for bodily injury or personal injury (including death), or loss or damage to tangible or intangible property caused, or alleged to be caused, in whole or in part, by the negligent or willful acts or omissions of Contractor or any of its owners, officers, directors, agents, employees or subcontractors. This indemnity includes any claim or amount arising out of or recovered under the Workers' Compensation Law or arising out of the failure of such contractor to conform to any federal, state or local law, statute, ordinance, rule, regulation or court decree. It is the specific intention of the parties that the Indemnitee shall, in all instances, except for Claims arising solely from the negligent or willful acts or omissions of the Indemnitee, be indemnified by Contractor from and against any and all claims. It is agreed that Contractor will be responsible for primary loss investigation, defense and judgment costs where this indemnification is applicable. In consideration of the award of this contract, the Contractor agrees to waive all rights of subrogation against the State of Arizona, its officers, officials, agents and employees for losses arising from the work performed by the Contractor for the State of Arizona.

This indemnity shall not apply if the contractor or sub-contractor(s) is/are an agency, board, commission or university of the State of Arizona.

3. **Insurance.**

Contractor and subcontractors shall procure and maintain until all of their obligations have been discharged, including any warranty periods under this Contract, are satisfied, insurance against claims for injury to persons or damage to property which may arise from or in connection with the performance of the work hereunder by the Contractor, his agents, representatives, employees or subcontractors.

The *insurance requirements* herein are minimum requirements for this Contract and in no way limit the indemnity covenants contained in this Contract. The State of Arizona in no way warrants that the minimum limits contained herein are sufficient to protect the Contractor from liabilities that might arise out of the performance of the work under this contract by the Contractor, its agents, representatives, employees or subcontractors, and Contractor is free to purchase additional insurance.

- A. **MINIMUM SCOPE AND LIMITS OF INSURANCE:** Contractor shall provide coverage with limits of liability not less than those stated below.

1. **Commercial General Liability – Occurrence Form**

Policy shall include bodily injury, property damage, personal injury and broad form contractual liability.

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SPECIAL TERMS AND CONDITIONS

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SOLICITATION NO. ED05-0062

- | | |
|--|--------------|
| • General Aggregate | \$2,000,000 |
| • Products – Completed Operations Aggregate | \$1,000,000 |
| • Personal and Advertising Injury | \$1,000,000 |
| • Professional Liability | \$1,000,000. |
| • Blanket Contractual Liability – Written and Oral | \$1,000,000 |
| • Fire Legal Liability | \$ 50,000 |
| • Each Occurrence | \$1,000,000 |

a. The policy shall be endorsed to include the following additional insured language: ***“The State of Arizona, its departments, agencies, boards, commissions, universities and its officers, officials, agents, and employees shall be named as additional insureds with respect to liability arising out of the activities performed by or on behalf of the Contractor”.***

b. Policy shall contain a waiver of subrogation against the State of Arizona, its departments, agencies, boards, commissions, universities and its officers, officials, agents, and employees for losses arising from work performed by or on behalf of the Contractor.

2. **Worker's Compensation and Employers' Liability**

Workers' Compensation	Statutory
Employers' Liability	
Each Accident	\$ 500,000
Disease – Each Employee	\$ 500,000
Disease – Policy Limit	\$1,000,000

a. Policy shall contain a waiver of subrogation against the State of Arizona, its departments, agencies, boards, commissions, universities and its officers, officials, agents, and employees for losses arising from work performed by or on behalf of the Contractor.

b. This requirement shall not apply to: Separately, EACH contractor or subcontractor exempt under A.R.S. § 23-901, AND when such contractor or subcontractor executes the appropriate waiver (Sole Proprietor/Independent Contractor) form.

B. **ADDITIONAL INSURANCE REQUIREMENTS:** The policies shall include, or be endorsed to include, the following provisions:

1. The State of Arizona, its departments, agencies, boards, commissions, universities and its officers, officials, agents, and employees wherever additional insured status is required such additional insured shall be covered to the full limits of liability purchased by the Contractor, even if those limits of liability are in excess of those required by this Contract.
2. The Contractor's insurance coverage shall be primary insurance with respect to all other available sources.
3. Coverage provided by the Contractor shall not be limited to the liability assumed under the indemnification provisions of this Contract.

C. **NOTICE OF CANCELLATION:** Each insurance policy required by the insurance provisions of this Contract shall provide the required coverage and shall not be suspended, voided, canceled, or reduced in coverage or in limits except after thirty (30) days prior written notice has been given to the State of

SECTION 2 SPECIAL TERMS AND CONDITIONS

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Arizona. Such notice shall be sent directly to the person named in paragraph 15 of this section and shall be sent by certified mail, return receipt requested.

- D. **ACCEPTABILITY OF INSURERS:** Insurance is to be placed with duly licensed or approved non-admitted insurers in the state of Arizona with an "A.M. Best" rating of not less than A- VII. The State of Arizona in no way warrants that the above-required minimum insurer rating is sufficient to protect the Contractor from potential insurer insolvency.

- E. **VERIFICATION OF COVERAGE:** Contractor shall furnish the State of Arizona with certificates of insurance (ACORD form or equivalent approved by the State of Arizona) as required by this Contract. The certificates for each insurance policy are to be signed by a person authorized by that insurer to bind coverage on its behalf.

All certificates and endorsements are to be received and approved by the State of Arizona before work commences. Each insurance policy required by this Contract must be in effect at or prior to commencement of work under this Contract and remain in effect for the duration of the project. Failure to maintain the insurance policies as required by this Contract, or to provide evidence of renewal, is a material breach of contract.

All certificates required by this Contract shall be sent directly to the person named in paragraph 15 of this section. The State of Arizona project/contract number and project description shall be noted on the certificate of insurance. The State of Arizona reserves the right to require complete, certified copies of all insurance policies required by this Contract at any time. **DO NOT SEND CERTIFICATES OF INSURANCE TO THE STATE OF ARIZONA'S RISK MANAGEMENT SECTION.**

- F. **SUBCONTRACTORS:** Contractors' certificate(s) shall include all subcontractors as insureds under its policies or Contractor shall furnish to the State of Arizona separate certificates and endorsements for each subcontractor. All coverages for subcontractors shall be subject to the minimum requirements identified above.
- G. **APPROVAL:** Any modification or variation from the *insurance requirements* in this Contract shall be made by the Department of Administration, Risk Management Section, whose decision shall be final. Such action will not require a formal Contract amendment, but may be made by administrative action.
- H. **EXCEPTIONS:** In the event the Contractor or sub-contractor(s) is/are a public entity, then the Insurance Requirements shall not apply. Such public entity shall provide a Certificate of Self-Insurance. If the contractor or sub-contractor(s) is/are a State of Arizona agency, board, commission, or university, none of the above shall apply.

4. **Contract Term.** The term of this Contract shall commence on the date the Procurement Officer signs the Offer and Acceptance Form, signifying ADE's acceptance of the Offeror/Contractor's proposal and will remain in effect through June 30, 2007, unless terminated, canceled, or extended as otherwise provided herein.
5. **Option to Extend the Term of the Contract.** ADE may, at its sole option, extend the term of this Contract by written notice to the Contractor within sixty (60) calendar days of the Contract expiration date. If ADE exercises this option, the extended Contract shall be considered to include this option provision as well as all other terms and conditions of the original contract, as modified. The total duration of this Contract, including the exercise of any options under this provision, shall not exceed five (5) years.
6. **Pricing.** All pricing shall be firm, fixed and be inclusive of all labor, equipment, materials, products, freight (FOB Destination), consumable supplies, insurance, and all other costs incidental to the services provided.

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7. **Non-exclusive Status.** ADE reserves the right, if necessary, to have the same or similar services provided by other than the Contractor.
8. **Eligible Agencies.** This Contract shall be for the use of the ADE, other state agencies, and Arizona's school districts and charter schools.
9. **Payment/Invoices & Mailing of Payments:** The Contractor will be paid on a monthly basis upon the submission of proper invoices. The Contractor shall submit invoices as specified on the Eligible Agencies purchase order(s).
- A. The Contractor shall submit invoices in a mutually acceptable format for work that has been performed in accordance with the Contract terms and conditions and accepted by the Eligible Agencies. The Recipient Agencies shall pay the Contractor within thirty (30) working days of receipt of invoices, provided the invoices include the required information and supporting documentation.
- B. Each invoice shall provide the following information, as applicable: Contract number, purchase order number and description of services performed, or commodities (product end items) delivered, and date of delivery.
- C. List below the address to which payment should be mailed, if different than that listed on the Offer and Award Form.

(Company Name)

(Street Address)

(City & State) (Zip Code)

11. Address to which Contractor payment(s) should be mailed, if different than that listed on the Offer and Award Form.

(Company Name)

(Street Address)

(City & State) (Zip Code)

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SPECIAL TERMS AND CONDITIONS

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12. Contractor representative to contact for contract administration purposes:

(Name and Title)

(Street Address)

(City & State) (Zip Code)

(Telephone & Facsimile Numbers)

(E-Mail Address)

13. The ADE representative to contact for technical matters concerning contract performance (NOTE: this person is not authorized to direct contractor performance or make changes in contract requirements.)

Gerae Peten, Education Program Specialist
Accountability Division
State Intervention Section
1535 West Jefferson Street
Phoenix, Arizona 85007
Telephone: (602) 364-1782
Fax: (602) 364-2334
E-Mail Address: gpeten@ade.az.gov

14. All contract administration matters will be managed by the Procurement Officer named below. All correspondence concerning this contract shall be directed to this individual.

Richard Adickes
Contracts Management Unit, Bin #37
1535 West Jefferson Street
Phoenix, Arizona 85007
Phone: (602) 364-2517
FAX: (602) 542-3099
E-Mail: radicke@ade.az.gov

SECTION 3
UNIFORM TERMS AND CONDITIONS

ARIZONA DEPARTMENT OF EDUCATION
Procurement Section
1535 West Jefferson Street, Bin #37
Phoenix, Arizona 85007

SOLICITATION NO. ED05-0062

Version 7

1. **Definition of Terms.** As used in this Solicitation and any resulting Contract, the terms listed below are defined as follows:
- A. *“Attachment”* means any item the Solicitation requires the Offeror/Contractor to submit as part of the Offer.
 - B. *“Contract”* means the combination of the Solicitation, including the Uniform and Special Instructions to Offeror/Contractors, the Uniform and Special Terms and Conditions, and the Specifications and Statement of Scope of Work; the Offer and any Best and Final Offers; and any Solicitation Amendments or Contract Amendments.
 - C. *“Contract Amendment”* means a written document signed by the Procurement Officer that is issued for the purpose of making changes in the Contract.
 - D. *“Contractor”* means any person who has a Contract with the State.
 - E. *“Days”* means calendar days unless otherwise specified
 - F. *“Exhibit”* means any item labeled as an Exhibit in the Solicitation or placed in the Exhibits section of the Solicitation.
 - G. *“Gratuity”* means a payment, loan, subscription, advance, deposit of money, services, or anything of more than nominal value, present or promised, unless consideration of substantially equal or greater value is received.
 - H. *“Materials”* means all property, including equipment, supplies, printing, insurance and leases of property but does not include land, a permanent interest in land or real property or leasing space.
 - I. *“Procurement Officer”* means the person duly authorized by the State to enter into and administer Contracts and make written determinations with respect to the Contract or their designee.
 - J. *“Services”* means the furnishing of labor, time or effort by a contractor or subcontractor which does not involve the delivery of a specific end product other than required reports and performance, but does not include employment agreements or collective bargaining agreements.
 - K. *“Subcontract”* means any Contract, express or implied, between the Contractor and another party or between a subcontractor and another party delegating or assigning, in whole or in part, the making or furnishing of any material or any service required for the performance of the Contract.
 - L. *“State”* means the State of Arizona and Department or Agency of the State that executes the Contract.
 - M. *“State Fiscal Year”* means the period beginning with July 1 and ending June 30.
 - N. *“Schedule of Values”* The Schedule of Values for a project is a detailed breakdown of the costs of the various components of the project into individual labor and material line items. The sum of all of the line items will be equal to the value of the contract. Once the Schedule of Values has been approved, it will serve as the basis for subsequent monthly payments to the reform agency.

SECTION 3
UNIFORM TERMS AND CONDITIONS

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Version 7

2. Contract Interpretation.

- A. Arizona Law. The Arizona law applies to this Contract including, where applicable, the Uniform Commercial Code as adopted by the State of Arizona and the Arizona Procurement Code, Arizona Revised Statutes (A.R.S.) Title 41, Chapter 23, and its implementing rules, Arizona Administrative Code (A.A.C.) Title 2, Chapter 7.
- B. Implied Contract Terms. Each provision of law and any terms required by law to be in this Contract are a part of this Contract as if fully stated in it.
- C. Contract Order of Precedence. In the event of a conflict in the provisions of the Contract, as accepted by the State and as they may be amended, the following shall prevail in the order set forth below:
- (1) Special Terms and Conditions;
 - (2) Uniform Terms and Conditions;
 - (3) Statement or Scope of Work;
 - (4) Specifications;
 - (5) Attachments;
 - (6) Exhibits;
 - (7) Documents referenced or included in the Solicitation.
- D. Relationship of Parties. The Contractor under this Contract is an independent Contractor. Neither party to this Contract shall be deemed to be the employee or agent of the other party to the Contract.
- E. Severability. The provisions of this Contract are severable. Any term or condition deemed illegal or invalid shall not affect any other term or condition of the Contract.
- F. No Parol Evidence. This Contract is intended by the parties as a final and complete expression of their agreement. No course of prior dealings between the parties and no usage of the trade shall supplement or explain any terms used in this document and no other understanding either oral or in writing shall be binding.
- G. No Waiver. Either party's failure to insist on strict performance of any term or condition of the Contract shall not be deemed a waiver of that term or condition even if the party accepting or acquiescing in the nonconforming performance knows of the nature of the performance and fails to object to it.

3. Contract Administration and Operation.

- A. Records. Under A.R.S. § 35-214 and § 35-215, the Contractor shall retain and shall contractually require each subcontractor to retain all data and other "records" relating to the acquisition and performance of the Contract for a period of five years after the completion of the Contract. All records shall be subject to inspection and audit by the State at reasonable times. Upon request, the Contractor shall produce a legible copy of any or all such records.
- B. Non-Discrimination. The Contractor shall comply with State Executive Order No. 99-4 and all other applicable Federal and State laws, rules and regulations, including the Americans with Disabilities Act.

SECTION 3 UNIFORM TERMS AND CONDITIONS

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Version 7

- C. Audit. Pursuant to A.R.S. § 35-214, at any time during the term of this Contract and five (5) years thereafter, the Contractor's or any subcontractor's books and records shall be subject to audit by the State and, where applicable, the Federal Government, to the extent that the books and records relate to the performance of the Contract or Subcontract.
- D. Facilities Inspection and Materials Testing. The Contractor agrees to permit access to its facilities, subcontractor facilities and the Contractor's processes or services, at reasonable times for inspection of the facilities or materials covered under this Contract. The State shall also have the right to test, at its own cost, the materials to be supplied under this Contract. Neither inspection of the Contractor's facilities nor materials testing shall constitute final acceptance of the materials or services. If the State determines non-compliance of the materials, the Contractor shall be responsible for the payment of all costs incurred by the State for testing and inspection.
- E. Notices. Notices to the Contractor required by this Contract shall be made by the State to the person indicated on the Offer and Acceptance form submitted by the Contractor unless otherwise stated in the Contract. Notices to the State required by the Contract shall be made by the Contractor to the Solicitation Contact Person indicated on the Solicitation cover sheet, unless otherwise stated in the Contract. An authorized Procurement Officer and an authorized Contractor representative may change their respective person to whom notice shall be given by written notice and an amendment to the Contract shall not be necessary.
- F. Advertising, Publishing and Promotion of Contract. The Contractor shall not use, advertise or promote information for commercial benefit concerning this Contract without the prior written approval of the Procurement Officer.
- G. Property of the State. Any materials, including reports, computer programs and other deliverables, created under this Contract are the sole property of the State. The Contractor is not entitled to a patent or copyright on those materials and may not transfer the patent or copyright to anyone else. The Contractor shall not use or release these materials without the prior written consent of the State.
- H. Ownership of Intellectual Property. Any and all intellectual property, including but not limited to copyright, invention, trademark, trade name, service mark, and/or trade secrets created or conceived pursuant to or as a result of this Contract and any related subcontract ("Intellectual Property"), shall be work made for hire and the State shall be considered the creator of such Intellectual Property. The agency, department, division, board or commission of the State of Arizona requesting the issuance of this Contract shall own (for and on behalf of the State) the entire right, title and interest to the Intellectual Property throughout the world. Contractor shall notify the State, within thirty (30) days, of the creation of any Intellectual Property by it or its subcontractor(s). Contractor, on behalf of itself and any subcontractor(s), agrees to execute any and all document(s) necessary to assure ownership of the Intellectual Property vests in the State and shall take no affirmative actions that might have the effect of vesting all or part of the Intellectual Property in any entity other than the State. The Intellectual Property shall not be disclosed by Contractor or its subcontractor(s) to any entity not the State without the express written authorization of the agency, department, division, board or commission of the State of Arizona requesting the issuance of this Contract.

4. **Costs and Payments.**

- A. Payments. Payments shall comply with the requirements of A.R.S. Titles 35 and 41, Net 30 days. Prior to the first billing, contractor must submit an approved Schedule of Values for the amount due for the progress of the school improvement initiatives implemented during the reporting period. Upon receipt and acceptance of Schedule of Values – Payment Application, the Contractor shall submit a completed and accurate invoice for payment for the State within thirty (30) days.

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B. Applicable Taxes.

- (1) Payment of Taxes. The Contractor shall be responsible for paying all applicable taxes.
- (2) State and Local Transaction Privilege Taxes. The State of Arizona is subject to all applicable state and local transaction privilege taxes. Transaction privilege taxes apply to the sale and are the responsibility of the seller to remit. Failure to collect taxes from the buyer does not relieve the seller from its obligation to remit taxes.
- (3) Tax Indemnification. Contractor and all subcontractors shall pay all Federal, state and local taxes applicable to its operation and any persons employed by the Contractor. Contractor shall, and require all subcontractors to hold the State harmless from any responsibility for taxes, damages and interest, if applicable, contributions required under Federal, and/or state and local laws and regulations and any other costs including transaction privilege taxes, unemployment compensation insurance, Social Security and Worker's Compensation.
- (4) IRS W9 Form. In order to receive payment, the Contractor shall have a current I.R.S. W9 Form on file with the State of Arizona, unless not required by law.
- (5) Availability of Funds for the Next State Fiscal Year. Funds may not presently be available for performance under this Contract beyond the current state fiscal year. No legal liability on the part of the State for any payment may arise under this Contract beyond the current state fiscal year until funds are made available for performance of this Contract.
- (6) Availability of Funds for the Current State Fiscal Year. Should the State Legislature enter back into session and reduce the appropriations or for any reason and these goods or services are not funded, the State may take any of the following actions:
 - a. Accept a decrease in price offered by the Contactor;
 - b. Cancel the Contract;
 - c. Cancel the Contract and re-solicit the requirements.

5. Contract Changes.

- A. Amendments. This Contract is issued under the authority of the Procurement Officer who signed this Contract. The Contract may be modified only through a Contract Amendment within the scope of the Contract. Changes to the Contract, including the addition of work or materials, the revision of payment terms, or the substitution of work or materials, directed by a person who is not specifically authorized by the Procurement Officer in writing or made unilaterally by the Contractor are violations of the Contract and of applicable law. Such changes, including unauthorized written Contract Amendments shall be void and without effect, and the Contractor shall not be entitled to any claim under this Contract based on those changes.
- B. Subcontracts. The Contractor shall not enter into any Subcontract under this Contract for the performance of this Contract without the advance written approval of the Procurement Officer. The Contractor shall clearly list any proposed subcontractors and the subcontractor's proposed responsibilities. The Subcontract shall incorporate by reference the terms and conditions of this Contract.
- C. Assignment and Delegation. The Contractor shall not assign any right nor delegate any duty under this Contract without the prior written approval of the Procurement Officer.

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6. Risk and Liability.

- A. Risk of Loss. The Contractor shall bear all loss of conforming material covered under this Contract until received by authorized personnel at the location designated in the purchase order or Contract. Mere receipt does not constitute final acceptance. The risk of loss for nonconforming materials shall remain with the Contractor regardless of receipt.
- B. General Indemnification. To the extent permitted by A.R.S. § 41-621 and § 35-154, the State of Arizona shall be indemnified and held harmless by the Contractor for its vicarious liability as a result of entering into this Contract. Each party to this Contract is responsible for its own negligence.
- C. Indemnification.
- (1) Contractor/Vendor Indemnification (Not Public Agency). The parties to this Contract agree that the State of Arizona, its departments, agencies, boards and commissions shall be indemnified and held harmless by the Contractor for the vicarious liability of the State as a result of entering into this Contract. However, the parties further agree that the State of Arizona, its departments, agencies, boards and commissions shall be responsible for its own negligence. Each party to this Contract is responsible for its own negligence.
 - (2) Public Agency Language Only. Each party (as 'indemnitor') agrees to indemnify, defend, and hold harmless the other party (as 'indemnitee') from and against any and all claims, losses, liability, costs, or expenses (including reasonable attorney's fees) (hereinafter collectively referred to as 'claims') arising out of bodily injury of any person (including death) or property damage but only to the extent that such claims which result in vicarious/derivative liability to the indemnitee, are caused by the act, omission, negligence, misconduct, or other fault of the indemnitor, its officers, officials, agents, employees, or volunteers.
 - (3) Indemnification – Patent and Copyright. The Contractor shall indemnify and hold harmless the State against any liability, including costs and expenses, for infringement of any patent, trademark or copyright arising out of Contract performance or use by the State of materials furnished or work performed under this Contract. The State shall reasonably notify the Contractor of any claim for which it may be liable under this paragraph. If the Contractor is insured pursuant to A.R.S. § 41-621 and § 35-154, this section shall not apply.
- D. Force Majeure.
- (1) Except for payment of sums due, neither party shall be liable to the other nor deemed in default under this Contract if and to the extent that such party's performance of this Contract is prevented by reason of force majeure. The term "*force majeure*" means an occurrence that is beyond the control of the party affected and occurs without its fault or negligence. Without limiting the foregoing, force majeure includes acts of God; acts of the public enemy; war; riots; strikes; mobilization; labor disputes; civil disorders; fire; flood; lockouts; injunctions-intervention-acts; or failures or refusals to act by government authority; and other similar occurrences beyond the control of the party declaring force majeure which such party is unable to prevent by exercising reasonable diligence.
 - (2) Force Majeure shall not include the following occurrences:
 - a. Late delivery of equipment or materials caused by congestion at a manufacturer's plant or elsewhere, or an oversold condition of the market;
 - b. Late performance by a subcontractor unless the delay arises out of a force majeure

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occurrence in accordance with this force majeure term and condition; or

- c. Inability of either the Contractor or any subcontractor to acquire or maintain any required insurance, bonds, licenses or permits.
- (3) If either party is delayed at any time in the progress of the work by force majeure, the delayed party shall notify the other party in writing of such delay, as soon as is practicable and no later than the following working day, of the commencement thereof and shall specify the causes of such delay in such notice. Such notice shall be delivered or mailed certified-return receipt and shall make a specific reference to this article, thereby invoking its provisions. The delayed party shall cause such delay to cease as soon as practicable and shall notify the other party in writing when it has done so. The time of completion shall be extended by Contract Amendment for a period of time equal to the time that results or effects of such delay prevent the delayed party from performing in accordance with this Contract.
- (4) Any delay or failure in performance by either party hereto shall not constitute default hereunder or give rise to any claim for damages or loss of anticipated profits if, and to the extent that such delay or failure is caused by force majeure.
- E. Third Party Antitrust Violations. The Contractor assigns to the State any claim for overcharges resulting from antitrust violations to the extent that those violations concern materials or services supplied by third parties to the Contractor, toward fulfillment of this Contract.

7. Warranties.

- A. Liens. The Contractor warrants that the materials supplied under this Contract are free of liens and shall remain free of liens.
- B. Quality. Unless otherwise modified elsewhere in these terms and conditions, the Contractor warrants that, for one year after acceptance by the State of the materials, they shall be:
 - (1) Of a quality to pass without objection in the trade under the Contract description;
 - (2) Fit for the intended purposes for which the materials are used;
 - (3) Within the variations permitted by the Contract and are of even kind, quantity, and quality within each unit and among all units;
 - (4) Adequately contained, packaged and marked as the Contract may require; and
 - (5) Conform to the written promises or affirmations of fact made by the Contractor.
- C. Fitness. The Contractor warrants that any material supplied to the State shall fully conform to all requirements of the Contract and all representations of the Contractor, and shall be fit for all purposes and uses required by the Contract.
- D. Inspection/Testing. The warranties set forth in subparagraphs 7A through 7C of this paragraph are not affected by inspection or testing of or payment for the materials by the State.
- E. Compliance With Applicable Laws. The materials and services supplied under this Contract shall comply with all applicable Federal, state and local laws, and the Contractor shall maintain all applicable license and permit requirements.

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F. Survival of Rights and Obligations after Contract Expiration or Termination.

- (1) Contractor's Representations and Warranties. All representations and warranties made by the Contractor under this Contract shall survive the expiration or termination hereof. In addition, the parties hereto acknowledge that pursuant to A.R.S. § 12-510, except as provided in A.R.S. § 12-529, the State is not subject to or barred by any limitations of actions prescribed in A.R.S., Title 12, Chapter 5.
- (2) Purchase Orders. The Contractor shall, in accordance with all terms and conditions of the Contract, fully perform and shall be obligated to comply with all purchase orders received by the Contractor prior to the expiration or termination hereof, unless otherwise directed in writing by the Procurement Officer, including, without limitation, all purchase orders received prior to but not fully performed and satisfied at the expiration or termination of this Contract.

8. State's Contractual Remedies.

- A. Right to Assurance. If the State in good faith has reason to believe that the Contractor does not intend to, or is unable to perform or continue performing under this Contract, the Procurement Officer may demand in writing that the Contractor give a written assurance of intent to perform. Failure by the Contractor to provide written assurance within the number of Days specified in the demand may, at the State's option, be the basis for terminating the Contract under the Uniform Terms and Conditions or other rights and remedies available by law or provided by the Contract.
- B. Stop Work Order.
 - (1) The State may, at any time, by written order to the Contractor, require the Contractor to stop all or any part, of the work called for by this Contract for period(s) of days indicated by the State after the order is delivered to the Contractor. The order shall be specifically identified as a stop work order issued under this clause. Upon receipt of the order, the Contractor shall immediately comply with its terms and take all reasonable steps to minimize the incurrence of costs allocable to the work covered by the order during the period of work stoppage.
 - (2) If a stop work order issued under this clause is canceled or the period of the order or any extension expires, the Contractor shall resume work. The Procurement Officer shall make an equitable adjustment in the delivery schedule or Contract price, or both, and the Contract shall be amended in writing accordingly.
- C. Non-exclusive Remedies. The rights and the remedies of the State under this Contract are not exclusive.
- D. Nonconforming Tender. Materials or services supplied under this Contract shall fully comply with the Contract. The delivery of materials or services a portion of the materials or services that do not fully comply constitutes a breach of contract. On delivery of nonconforming materials or services, the State may terminate the Contract for default under applicable termination clauses in the Contract, exercise any of its rights and remedies under the Uniform Commercial Code, or pursue any other right or remedy available to it.
- E. Right of Offset. The State shall be entitled to offset against any sums due the Contractor, any expenses or costs incurred by the State, or damages assessed by the State concerning the Contractor's non-conforming performance or failure to perform the Contract, including expenses, costs and damages described in the Uniform Terms and Conditions.

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9. Contract Termination.

- A. Cancellation for Conflict of Interest. Pursuant to A.R.S. § 38-511, the State may cancel this Contract within three (3) years after Contract execution without penalty or further obligation if any person significantly involved in initiating, negotiating, securing, drafting or creating the Contract on behalf of the State is or becomes at any time while the Contract or an extension of the Contract is in effect an employee of or a consultant to any other party to this Contract with respect to the subject matter of the Contract. The cancellation shall be effective when the Contractor receives written notice of the cancellation unless the notice specifies a later time. If the Contractor is a political subdivision of the State, it may also cancel this Contract as provided in A.R.S. § 38-511.
- B. Gratuities. The State may, by written notice, terminate this Contract, in whole or in part, if the State determines that employment or a Gratuity was offered or made by the Contractor or a representative of the Contractor to any officer or employee of the State for the purpose of influencing the outcome of the procurement or securing the Contract, an amendment to the Contract, or favorable treatment concerning the Contract, including the making of any determination or decision about contract performance. The State, in addition to any other rights or remedies, shall be entitled to recover exemplary damages in the amount of three times the value of the Gratuity offered by the Contractor.
- C. Suspension or Debarment. The State may, by written notice to the Contractor, immediately terminate this Contract if the State determines that the Contractor has been debarred, suspended or otherwise lawfully prohibited from participating in any public procurement activity, including but not limited to, being disapproved as a subcontractor of any public procurement unit or other governmental body. Submittal of an offer or execution of a contract shall attest that the Contractor is not currently suspended or debarred. If the Contractor becomes suspended or debarred, the Contractor shall immediately notify the State.
- D. Termination for Convenience. The State reserves the right to terminate the Contract, in whole or in part at any time, when in the best interests of the State without penalty or recourse. Upon receipt of the written notice, the Contractor shall immediately stop all work, as directed in the notice, notify all subcontractors of the effective date of the termination and minimize all further costs to the State. In the event of termination under this paragraph, all documents, data and reports prepared by the Contractor under the Contract shall become the property of and be delivered to the State upon demand. The Contractor shall be entitled to receive just and equitable compensation for work in progress, work completed and materials accepted before the effective date of the termination. The cost principles and procedures provided in A.A.C. R2-7-701 shall apply.
- E. Termination for Default.
- (1) In addition to the rights reserved in the Contract, the State may terminate the Contract in whole or in part due to the failure of the Contractor to comply with any term or condition of the Contract, to acquire and maintain all required insurance policies, bonds, licenses and permits, or to make satisfactory progress in performing the Contract. The Procurement Officer shall provide written notice of the termination and the reasons for it to the Contractor.
 - (2) Upon termination under this paragraph, all goods, materials, documents, data and reports prepared by the Contractor under the Contract shall become the property of and be delivered to the State on demand.
 - (3) The State may, upon termination of this Contract, procure, on terms and in the manner that it deems appropriate, materials or services to replace those under this Contract. The Contractor shall be liable to the State for any excess costs incurred by the State in procuring materials or services in substitution for those due from the Contractor.

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F. Continuation of Performance Through Termination. The Contractor shall continue to perform, in accordance with the requirements of the Contract, up to the date of termination, as directed in the termination notice.

10. Contract Claims. All contract claims or controversies under this Contract shall be resolved according to A.R.S. Title 41, Chapter 23, Article 9, and rules adopted thereunder.

11. Arbitration. The parties to this Contract agree to resolve all disputes arising out of or relating to this Contract through arbitration, after exhausting applicable administrative review, to the extent required by A.R.S. § 12-1518, except as may be required by other applicable statutes (Title 41).

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1. **Definition of Terms Used in these Special Instructions.** As used in these instructions, the following terms, in addition to those terms defined in Section 1, Paragraph 1, have the following meaning:
 - 1.1. “ADE” means the Arizona Department of Education.
 - 1.2. “Department” means the Arizona Department of Education.
2. **Required Information.** The following shall be submitted concurrent with and as part of the Offer:
 - 2.1 Offer and Contract Award Form (2 signed originals);
 - 2.2 Offeror/Contractor’s contract administration information required by Paragraphs 10, 11 and 12 on pages 8 and 9 in the Special Terms and Conditions;
 - 2.3 Attachment 6.1, Prices;
 - 2.4 Attachment 6.2, Offeror/Contractor’s References;
 - 2.5 Attachment 6.3, Offeror/Contractor’s Organization;
 - 2.6 Attachment 6.4, Offeror/Contractor’s Personnel Qualifications;
 - 2.7 Attachment 6.5, Offeror/Contractor’s Financial Disclosure;
 - 2.8 Attachment 6.6, Sole Proprietor Certificate (if necessary);
 - 2.9 Attachment 6.7, Assessment Matrix
 - 2.10 Attachment 6.8, Guiding Questions
 - 2.11 Attachment 6.9, Offeror’s Checklist
 - 2.12 Solicitation Amendments (if any); and
 - 2.13 Offeror’s Section 2 consisting of completed Assessment Matrix (Attachment 6.8),
3. **Proposal Opening:** This is a Request For Proposals, and Offers shall be opened publicly at the time and place designated on the cover page of this Solicitation. The name of each Offeror shall be read publicly and recorded. Prices will not be read. Proposals will not be subject to public inspection until after Contract award.
4. **Award of Contract.** Award of a Firm-fixed price contract(s) will be made to the most responsive and responsible Offeror(s) whose offer(s) are determined to be the most advantageous to ADE based on the evaluation criteria set forth in this Solicitation.

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5. Offer Format and Content.

- 5.1. **One clearly marked original and six (6) copies** of offers shall be submitted. Subcategories of information in each of the volumes should be highlighted for ease of evaluating the information contained therein. If the Offeror finds it necessary to take exception(s) to any of the requirements specified in this Solicitation, clearly indicate each such exception in the proposal along with a complete explanation of why the exception was taken and what benefit accrues to the State thereby. All substantive exceptions and supporting rationale shall be identified as such and consolidated into one section of the Offer.
- 5.2. To facilitate evaluation, the Offer must be specific, and complete to clearly and fully demonstrate the Offeror has a thorough understanding of the requirement, can provide detailed information and relate experience concerning previous performance of similar services. Statements that the Offeror understands, can or will comply with the Scope of Work, statements paraphrasing the Scope of Work or parts thereof, and phrases such as “*standard procedures will be employed*” or “*well-known techniques will be used*”, etc., are unacceptable. Offerors should note that data previously submitted shall not be relied upon nor incorporated in the Offer by reference.
- 5.3. **Binding and Labeling.** Each copy of the Offer shall be presented in three ring binders, or other suitable method of assembly, with the cover indicating the Solicitation Number: ED-05-0062, the Offeror’s name and address and copy number (i.e. Copy 2 of 6).
- 5.4. **Indexing.** The sections of each copy of the Offer shall be indexed to indicate the applicable parts and elements. Orderliness of the Offer, readability and similar factors should be considered in offer preparation.
- 5.5. **Format.** The mandatory information to be placed in each copy of the Offer is listed below. Each copy shall furnish sections for information discussed in the Scope of Work. **Lack of these submissions may cause the Offer to be declared unacceptable.**
 - 5.5.1 Section One of the Offer shall be titled **Executive Summary**. This Section shall include a signed copy of the Offer and Award Form and completed Attachments 6.1, 6.3, 6.5, 6.6 (if applicable) and 6.7.
 - 5.5.2 Section Two of the Offer shall be the Offeror’s completed Attachment 6.8, **Assessment Matrix**. This matrix must be included and is used to support the evaluation of the proposal in terms of the Offeror’s narrative responses to the Guiding Questions, Attachment 6.9.
 - 5.5.3 Section Three shall consist of the following:
 - 5.5.3.1 A description and sample(s) of the Offeror’s existing research-based school restructuring and reform interventions.
 - 5.5.3.2 A discussion (no more than three pages) of the Offeror’s alignment with and comparison of their assessment instrument(s) to Arizona’s Professional Administrative Standards Comprehensive information can be found at the following Internet Website: <http://www.ade.az.gov/certification/downloads/Administrativestandards.pdf>
 - 5.5.3.3 The Offeror’s narrative responses to the **Guiding Questions** (Attachment 6.9) which, in total constitute the Offeror’s assurances regarding its Scope of Work responsibilities.

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- 6. Evaluation and Selection.** Evaluation of offers may be accomplished in four steps.
- 6.1. Step One. Initial review of offer to determine basic responsiveness to the Solicitation, where offers will be reviewed to insure they include all required information.
 - 6.2. Step Two. Evaluation of offer to assess the Offeror's capability to deliver the required services in accordance with the terms and conditions set forth in the Solicitation and requirements of the Scope of Work. Attachment 6.8, the Assessment Matrix will facilitate this process.
 - 6.3. Step Three. (Optional) Discussions with Offerors concerning their offers. This step includes requests for Best and Final Offers from Offerors still considered susceptible of winning contract award(s).
 - 6.4. Step Four. Contract award(s) made to the responsive and responsible Offeror(s) whose offer(s) is determined to be the most advantageous to the State, based on the following criteria (in bold print below), which are listed in descending order of importance.
 - 6.4.1 **Offeror's Response to Section 3**
ADE will evaluate the offeror's response to Section 3 (see paragraph 5.5.3) including the guiding questions to determine if the offeror possesses a thorough understanding of the requirements of the Scope of Work and to determine the degree to which its proposed assessment will accomplish the State's objectives (Scope of Work, Section 1). These guiding questions include items for Offeror provided training and / or training materials (Scope of Work, Section 1, paragraph 3.1) and the Offeror's data management service (Scope of Work, Section 1, paragraph 3.2)
 - 6.4.2 **Offeror's Completed Assessment Matrix (Attachment 6.7); and**
ADE will evaluate the offeror's completed assessment matrix (see paragraph 5.5.2) to determine the depth and breadth of the offeror's proposed assessment and the degree to which it will accomplish the State's objectives.
 - 6.4.3 **Price**
ADE will evaluate the offerors' unit cost measures as specified within the Schedule of Values (Attachment 6.1)
- 7. Discussions.** In accordance with A.R.S. § 41-2534, after the initial receipt of offers, ADE reserves the option to conduct discussions with those Offerors who submit offers determined by the State to be reasonably susceptible of being selected for award.
- 8. Best and Final Offers.**
- 8.1 In the event the Procurement Officer determines discussions are required, discussions on the areas, items, and factors specified in this Solicitation will be held with all Offerors determined to be in the competitive range.
 - 8.2 Offerors should be aware that a complete understanding as to pricing, technical, and all other terms and conditions of the proposed contract must exist between the Offeror and ADE at the conclusion of negotiations. Any technical revisions or non-concurrence to negotiated contract terms and conditions submitted in the best and final offer shall not be subject to further discussion or negotiation, and may render the Offer unacceptable to the State.
 - 8.3 Discussions will be concluded when a mutual understanding has been reached with each Offeror remaining in the competitive range. This mutual understanding will become the basis for the Offeror's best and final offer.

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- 8.4 The Offeror must propose the same plan(s), including all terms and conditions, as mutually agreed upon at the time negotiations are concluded. The Offeror may only change quantitative pricing data. Any other change or revision from the previously negotiated understanding may render an Offer unacceptable for an award. The Best and Final Offer must be returned, signed and dated by the Offeror within the time and date specified to be eligible for award.
- 8.5 This provision is not intended to restrict the Offeror's opportunity to revise figures (e.g. prices, discounts, percentage rates, etc.). Rather, it is intended to preclude any misunderstanding by ADE, which could result if new, or revised terms and conditions are submitted in the best and final offer that have not been fully disclosed, discussed, and understood during negotiations. Therefore, such new or revised terms and conditions are not solicited and, if submitted in the best and final offer, may render the offer unacceptable to ADE.
9. **Certificate of Insurance Form.** ADE recommends that the Offeror consider using the sample Certificate of Insurance included in this Solicitation as Exhibit 7.1, or if the Offeror wishes, it may submit a substantially similar Certificate of Insurance. If the Offeror so elects, the proposed Certificate of Insurance shall be submitted to ADE for review and approval.

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1. **Definition of Terms.** As used in these Instructions, the terms listed below are defined as follows:
 - A. *“Attachment”* means any item the Solicitation requires an Offeror to submit as part of the Offer.
 - B. *“Contract”* means the combination of the Solicitation, including the Uniform and Special Instructions to Offerors, the Uniform and Special Terms and Conditions, and the Specifications and Statement of Scope of Work; the Offer and any Best and Final Offers; and any Solicitation Amendments or Contract Amendments; and any terms applied by law.
 - C. *“Contract Amendment”* means a written document signed by the Procurement Officer that is issued for the purpose of making changes in the Contract.
 - D. *“Contractor”* means any person who has a contract with the State.
 - E. *“Days”* means calendar days unless otherwise specified.
 - F. *“Exhibit”* means any item labeled as an Exhibit in the Solicitation or placed in the Exhibits section of the Solicitation.
 - G. *“Offer”* means bid, proposal or quotation.
 - H. *“Offeror”* means a vendor who responds to a Solicitation.
 - I. *“Procurement Officer”* means the person duly authorized by the State to enter into and administer Contracts and make written determinations with respect to the Contract or his or her designee.
 - J. *“Solicitation”* means an Invitation for Bids (“IFB”), a Request for Proposals (“RFP”), or a Request for Quotations (“RFQ”).
 - K. *“Solicitation Amendment”* means a written document that is authorized by the Procurement Officer and issued for the purpose of making changes to the Solicitation.
 - L. *“Subcontract”* means any Contract, express or implied, between the Contractor and another party or between a subcontractor and another party delegating or assigning, in whole or in part, the making or furnishing of any material or any service required for the performance of the Contract.
 - M. *“State”* means the State of Arizona and Department or Agency of the State that executes the Contract.
2. **Inquiries.**
 - A. Duty to Examine. It is the responsibility of each Offeror to examine the entire Solicitation, seek clarification in writing (inquiries), and examine its Offer for accuracy before submitting the Offer. Lack of care in preparing an Offer shall not be a grounds for modifying or withdrawing the Offer after the Offer due date and time, nor shall it give rise to any Contract claim.
 - B. Solicitation Contact Person. Any inquiry related to a Solicitation, including any requests for or inquiries regarding standards referenced in the Solicitation, shall be directed solely to the Solicitation contact person. The Offeror shall not contact or direct inquiries concerning this Solicitation to any other State employee unless the Solicitation specifically identifies a person other than the Solicitation contact person as a contact.
 - C. Submission of Inquiries. The Procurement Officer or the person identified in the Solicitation as the contact for inquiries may, except at the Pre-Offer Conference, require that an inquiry be submitted in writing. Any

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inquiry related to a Solicitation shall refer to the appropriate Solicitation Number: ED-050062, page and paragraph. Do not place the Solicitation Number: ED-050062 on the outside of the envelope containing that inquiry, since it may then be identified as an Offer and not be opened until after the Offer due date and time. The State shall consider the relevancy of the inquiry but is not required to respond in writing.

- D. Timeliness. Any inquiry or exception to the Solicitation shall be submitted as soon as possible and at least seven days before the Offer due date and time for review and determination by the State. Failure to do so may result in the inquiry not being considered for a Solicitation Amendment.
- E. No Right to Rely on Verbal Responses. An Offeror shall not rely on verbal responses to inquiries. A verbal reply to an inquiry does not constitute a modification of the Solicitation.
- F. Solicitation Amendments. The Solicitation shall only be modified by a Solicitation Amendment.
- G. Pre-Offer Conference. If a pre-Offer Conference has been scheduled under this Solicitation, the date, time and location shall appear on the Solicitation cover sheet or elsewhere in the Solicitation. Offerors should raise any questions about the Solicitation or the procurement at that time. An Offeror may not rely on any verbal responses to questions at the Conference. Material issues raised at the Conference that result in changes to the Solicitation shall be answered solely through a written Solicitation Amendment.
- H. Persons With Disabilities. Persons with a disability may request a reasonable accommodation, such as a sign language interpreter, by contacting the Solicitation contact person. Requests shall be made as early as possible to allow time to arrange the accommodation.

3. Offer Preparation.

- A. Forms: No Facsimile or Telegraphic Offers. An Offer shall be submitted either on the forms provided in this Solicitation or their substantial equivalent. Any substitute document for the forms provided in this Solicitation must be legible and contain the same information requested on the forms unless the Solicitation indicates otherwise. A facsimile, telegraphic, mailgram or electronic mail Offer shall be rejected if submitted in response to requests for proposals or invitations for bids.
- B. Typed or Ink: Corrections. The Offer shall be typed or in ink. Erasures, interlineations or other modifications in the Offer shall be initialed in ink by the person signing the Offer. Modifications shall not be permitted after Offers have been opened except as otherwise provided under applicable law.
- C. Evidence of Intent to be Bound. The Offer and Acceptance form within the Solicitation shall be submitted with the Offer and shall include a signature (or acknowledgement for electronic submissions, when authorized) by a person authorized to sign the Offer. The signature shall signify the Offeror/Contractor's intent to be bound by the Offer and the terms of the Solicitation and that the information provided is true, accurate and complete. Failure to submit verifiable evidence of an intent to be bound, such as an original signature, shall result in rejection of the Offer.
- D. Exceptions to Terms and Conditions. All exceptions included with the Offer shall be submitted in a clearly identified separate section of the Offer in which the Offeror/Contractor clearly identifies the specific paragraphs of the Solicitation where the exceptions occur. Any exceptions not included in such a section shall be without force and effect in any resulting Contract unless such exception is specifically accepted by the Procurement Officer in a written statement. The Offeror/Contractor's preprinted or standard terms will not be considered by the State as a part of any resulting Contract.

- (1) Invitation for Bids: An Offer that takes exception to a material requirement of any part of the Solicitation, including terms and conditions, shall be rejected.

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- (2) Request for Proposals: All exceptions that are contained in the Offer may negatively affect the State's proposal evaluation based on the evaluation criteria as stated in the Solicitation, or result in rejection of the Offer. An Offer that takes exception to any material requirement of the Solicitation may be rejected.
- E. Subcontracts. Offeror/Contractor shall clearly list any proposed subcontractors and the subcontractor's proposed responsibilities in the Offer.
- F. Cost of Offer Preparation. The State will not reimburse any Offeror/Contractor the cost of responding to a Solicitation.
- G. Solicitation Amendments. Each Solicitation Amendment shall be signed with an original signature by the person signing the Offer, and shall be submitted no later than the Offer due date and time. Failure to return a signed copy of a Solicitation Amendment may result in rejection of the Offer.
- H. Federal Excise Tax. The State of Arizona is exempt from certain Federal Excise Tax on manufactured goods. Exemption Certificates will be provided by the State.
- I. Provision of Tax Identification Numbers. Offeror/Contractors are required to provide their Arizona Transaction Privilege Tax Number and/or Federal Tax Identification number in the space provided on the Offer and Acceptance Form.
- (1) Employee Identification. Offeror/Contractor agrees to provide an employee identification number or social security number to the Department for the purposes of reporting to appropriate taxing authorities, monies paid by the Department under this Contract. If the federal identifier of the Offeror/Contractor is a social security number, this number is being requested solely for tax reporting purposes and will be shared only with appropriate state and federal officials. This submission is mandatory under 26 U.S.C. § 6041A.
- J. Identification of Taxes in Offer. The State of Arizona is subject to all applicable state and local transaction privilege taxes. All applicable taxes shall be included in the pricing offered in the Solicitation. At all times, payment of taxes and the determination of applicable taxes are the sole responsibility of the Contractor.
- K. Disclosure. If the firm, business or person submitting this Offer has been debarred, suspended or otherwise lawfully precluded from participating in any public procurement activity, including being disapproved as a subcontractor with any Federal, state or local government, or if any such preclusion from participation from any public procurement activity is currently pending, the Offeror/Contractor shall fully explain the circumstances relating to the preclusion or proposed preclusion in the Offer. The Offeror/Contractor shall include a letter with its Offer setting forth the name and address of the governmental unit, the effective date of this suspension or debarment, the duration of the suspension or debarment, and the relevant circumstances relating to the suspension or debarment. If suspension or debarment is currently pending, a detailed description of all relevant circumstances including the details enumerated above shall be provided.

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- L. Solicitation Order of Precedence. In the event of a conflict in the provisions of this Solicitation, the following shall prevail in the order set forth below:
- (1) Special Terms and Conditions;
 - (2) Uniform Terms and Conditions;
 - (3) Statement or Scope of Work;
 - (4) Specifications;
 - (5) Attachments;
 - (6) Exhibits;
 - (7) Special Instructions to Offeror/Contractors;
 - (8) Uniform Instructions to Offeror/Contractors;
 - (9) Other documents referenced or included in the Solicitation.

4. **Submission of Offer.**

- A. Sealed Envelope or Package. Each Offer shall be submitted to the submittal location identified in this Solicitation. Offers should be submitted in a sealed envelope or container. The envelope or container should be clearly identified with name of the Offeror/Contractor and Solicitation Number: ED-050062. The State may open envelopes or containers to identify contents if the envelope or container is not clearly identified.
- B. Offer Amendment or Withdrawal. An Offer may not be amended or withdrawn after the Offer due date and time except as otherwise provided under applicable law.
- C. Public Record. All Offers submitted and opened are public records and must be retained by the State. Offers shall be open to public inspection after Contract award, except for such Offers deemed to be confidential by the State. If an Offeror/Contractor believes that information in its Offer should remain confidential, it shall indicate as confidential, the specific information and submit a statement with its Offer detailing the reasons that the information should not be disclosed. Such reasons shall include the specific harm or prejudice which may arise. The State shall determine whether the identified information is confidential pursuant to the Arizona Procurement Code.
- D. Non-collusion, Employment, and Services. By signing the Offer and Acceptance Form or other official contract form, the Offeror/Contractor certifies that:
- (1) The Offeror/Contractor did not engage in collusion or other anti-competitive practices in connection with the preparation or submission of its Offer; and
 - (2) The Offeror/Contractor does not discriminate against any employee or applicant for employment or person to whom it provides services because of race, color, religion, sex, national origin, or disability, and that it complies with all applicable Federal, state and local laws and executive orders regarding employment.

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5. Evaluation.

- A. Unit Price Prevails. Where applicable, in the case of discrepancy between the unit price or rate and the extension of that unit price or rate, the unit price or rate shall govern.
- B. Late Offers. An Offer submitted after the exact Offer due date and time shall be rejected.
- C. Disqualification. An Offeror (including each of its principals) who is currently debarred, suspended or otherwise lawfully prohibited from any public procurement activity shall have its Offer rejected.
- D. Offer Acceptance Period. An Offeror/Contractor submitting an Offer under this Solicitation shall hold its Offer open for the number of days from the Offer due date that is stated in the Solicitation. If the Solicitation does not specifically state a number of days for Offer acceptance, the number of days shall be one hundred-twenty (120). If a Best and Final Offer is requested pursuant to a Request for Proposals, an Offeror/Contractor shall hold its Offer open for one hundred-twenty (120) days from the Best and Final Offer due date.
- E. Waiver and Rejection Rights. Notwithstanding any other provision of the Solicitation, the State reserves the right to:
 - (1) Waive any minor informality;
 - (2) Reject any and all Offers or portions thereof; or
 - (3) Cancel a Solicitation.

6. Award.

- A. Number or Types of Awards. The State reserves the right to make multiple awards or to award a Contract by individual line items or alternatives, by group of line items or alternatives, or to make an aggregate award, or regional awards, whichever is most advantageous to the State. If the Procurement Officer determines that an aggregate award to one Offeror/Contractor is not in the State's best interest, "all or none" Offers shall be rejected.
- B. Contract Inception. An Offer does not constitute a Contract nor does it confer any rights on the Offeror/Contractor to the award of a Contract. A Contract is not created until the Offer is accepted in writing by the Procurement Officer's signature on the Offer and Acceptance Form. A notice of award or of the intent to award shall not constitute acceptance of the Offer.
- C. Effective Date. The effective date of this Contract shall be the date that the Procurement Officer signs the Offer and Acceptance form or other official contract form, unless another date is specifically stated in the Contract.

7. Protests.

A protest shall comply with and be resolved according to Arizona Revised Statutes Title 41, Chapter 23, Article 9 and rules adopted thereunder. Protests shall be in writing and be filed with both the Procurement Officer of the purchasing agency and with the State Procurement Administrator. A protest of a Solicitation shall be received by the Procurement Officer before the Offer due date. A protest of a proposed award or of an award shall be filed within ten (10) days after the protester knows or should have known the basis of the protest. A protest shall include:

- A. The name, address and telephone number of the protester;
- B. The signature of the protester or its representative;

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- C. Identification of the purchasing agency and the Solicitation or Contract number;
 - D. A detailed statement of the legal and factual grounds of the protest including copies of relevant documents;
and
 - E. The form of relief requested.
8. **Comments Welcome.** The State Procurement Office periodically reviews the Uniform Instructions to Offeror/Contractors and welcomes any comments you may have. Please submit your comments to: State Procurement Administrator, State Procurement Office, 100 North 15th Avenue, Suite 104, Phoenix, Arizona, 85007.

ATTACHMENT 6.1

SCHEDULE of VALUES

SOLICITATION No. ED05-0062

- 1) RFP must be submitted with column C (Scheduled Value) completed on Attachment 6.1
- 2) Awarded contracts must expand Schedule of Values form to include columns to reflect work completed; materials presently stored; total work completed to date; quantity remaining; percentage completed; and balance to finish (see Exhibit 7.2).
 - a) Prior to the first billing, the contract must submit a Schedule of Values for the contract indicating the progress of school improvement initiatives implemented during payment period.
 - b) ADE will issue a letter of approval or in the case of discrepancies, a statement requesting clarifications and/or revisions. Schedule of Values must be re-submitted for approval in these instances.
- 3) ADE will provide annual dislocation compensation the amount of \$5,000.00, per one staff member, for school sites located in remote areas. Remote areas are defined as schools located beyond a seventy-five mile radius from a major population center, e.g. Phoenix, Tucson, Flagstaff or Yuma.

ATTACHMENT 6.1

Name and date of Offeror's current Schedule of Values which Offeror proposes to provide in response to this RFP.

A	B	C	D
Item No.	DESCRIPTION OF WORK	SCHEDULED VALUE	UNITS OF MEASURE
1	Diagnose the academic needs of school and students		Per Student
2	Develop a strategic plan for continuous academic improvement		Per School
3	Implementation of strategic plan for continuous academic improvement		Per School
4	Audit and assesses school's budget prioritization		Per School
5	Align curriculum standards for District.		Per District
7	Develop school/district assessments for a standards based and aligned curriculum		Per Student
8	Align professional development initiatives with curriculum		Per School
10	<ul style="list-style-type: none"> Identify and monitor benchmarks 		Per School
11	<ul style="list-style-type: none"> Create intermittent timelines on Student Progress 		Per School
12	<ul style="list-style-type: none"> Monitor student progress 		Per School
13	<ul style="list-style-type: none"> Make revisions to professional development initiatives 		Per School
	PROFESSIONAL DEVELOPMENT INITIATIVES		
14	<ul style="list-style-type: none"> Develop and implement researched based teaching strategies 		Per School
15	<ul style="list-style-type: none"> Incorporate collaboration decision making strategies for all initiatives 		Per School
16	<ul style="list-style-type: none"> Facilitate and schedule time to reflect/modify plans and initiatives 		Per School
17	<ul style="list-style-type: none"> Create a system of accountability 		Per School
18	<ul style="list-style-type: none"> Develop strategies for continued and sustained school improvement 		Per School
	SCHOOL COMMUNITY INVOLVEMENT		
19	<ul style="list-style-type: none"> Formulate method for individual stakeholders involvement 		Per School
20	<ul style="list-style-type: none"> Incorporate continuous communication methodologies to broadcast student performance and school improvement strategies to solicit support and involvement. 		Per School
	IMPLEMENTATION FOR CONTINUOUS IMPROVEMENT		
21	<ul style="list-style-type: none"> Produce written reports summarizing status 		Per Report
22	<ul style="list-style-type: none"> Submit comprehensive monthly reports to school/district. 		Per Report
23	<ul style="list-style-type: none"> Report to Accountability Unit of ADE monthly indicating status of deliverables. 		Per Report
	ESTABLISH CRITERIA FOR EXITING		
24	<ul style="list-style-type: none"> Establish guidelines for the long-term sustainability 		Per School
25	<ul style="list-style-type: none"> Devise strategies for identifying, developing and implementing sustainable leadership from within the school. 		Per School
26	Develop policy defining the relationship between contractor and Local School Board		Per School

ATTACHMENT 6.2

OFFEROR'S REFERENCES

SOLICITATION No. ED05-0062

OFFERORS SHALL PROVIDE A MINIMUM OF THREE (3) REFERENCES.

1.	Company/Organization	<hr/>
A.	Address	<hr/> <hr/>
B.	Point of Contact/Phone #	<hr/>
C.	Description of Services and When Provided	<hr/> <hr/> <hr/>
2.	Company/Organization	<hr/>
A.	Address	<hr/> <hr/>
B.	Point of Contact/Phone #	<hr/>
C.	Description of Services and When Provided	<hr/> <hr/> <hr/>
3.	Company/Organization	<hr/>
A.	Address	<hr/> <hr/>
B.	Point of Contact/Phone #	<hr/>
C.	Description of Services and When Provided	<hr/> <hr/> <hr/>

ATTACHMENT 6.3

OFFEROR'S ORGANIZATION

SOLICITATION No. ED05-0062

INSTRUCTIONS: Offerors shall complete each item, using attachments where necessary. Attachments shall indicate the item number and heading being referenced as it appears below. Failure to make full and complete disclosure may result in the rejection of offers as unresponsive.

		<u>YES</u>	<u>NO</u>
1.	<u>Administrative Agent</u>		
	Is the Offeror acting as an administrative agent for any other agency, firm, or governmental agency? <i>(If YES, provide a description of the relationship in both, legal and functional aspects.)</i>	<input type="checkbox"/>	<input type="checkbox"/>
2.	<u>Civil Rights Compliance Data</u>		
	Has any Federal or State agency ever made a finding of noncompliance with any relevant civil rights requirements with respect to the Offeror's business activities? <i>(If YES, provide an explanation.)</i>	<input type="checkbox"/>	<input type="checkbox"/>
3.	<u>Prior Felony Conviction(s)</u>		
	Has the Offeror, its major stockholders with a controlling interest, or its officers been the subject of criminal investigations or prosecutions or convicted of a felony? <i>(If YES, provide an explanation.)</i>	<input type="checkbox"/>	<input type="checkbox"/>
4.	<u>Suspension or Exclusion from Federal or State Program(s)</u>		
	Has the Offeror ever been suspended or excluded from any Federal or State Government program for any reason? <i>(If YES, provide an explanation.)</i>	<input type="checkbox"/>	<input type="checkbox"/>
5.	Does the Offeror have sufficient funds to meet obligations on time under the contract while awaiting payment from ADE? <i>(If NO, provide an explanation.)</i>	<input type="checkbox"/>	<input type="checkbox"/>
6.	Have any licenses ever been denied, revoked or suspended or provisionally issued within the past five (5) years? <i>(If YES, provide an explanation.)</i>	<input type="checkbox"/>	<input type="checkbox"/>
7.	Has the Offeror or the Offeror's firm terminated any contracts, had any contracts terminated, or been involved in contract lawsuits? <i>(If YES, provide an explanation.)</i>	<input type="checkbox"/>	<input type="checkbox"/>
8.	Does the Offeror, its staff, relatives, or voting members of the Board of Directors maintain any ownership's, employments, public and private affiliations or relationships which may have substantial interest (as defined in A.R.S. 38-502, Conflict of Interest) in any contract, sale, purchase, or service involving ADE? <i>(If YES, provide a full explanation of the situation.)</i>	<input type="checkbox"/>	<input type="checkbox"/>

ATTACHMENT 6.3

OFFEROR'S ORGANIZATION SOLICITATION No. ED05-0062

9. Phoenix area address and telephone number, if different than provided on the Offer and Award Form:

(Street Number)

(City and State)

(Zip Code)

(Telephone Number)

(Fax Number)

10. Provide an overview of your firm that includes organizational structure, number and location of offices and the number of employees at each office location. Also indicate the extent and type of involvement of each office listed. Identify the office location from which a majority of the key personnel will be assigned to any resultant contract.
11. If the Offeror's firm is a division or subsidiary of another firm, indicate below the name and address of the parent firm. Also include a description of the working relationship between the Offeror's firm and the parent firm. Specify what impact, if any, this relationship would have on the Offeror's firm's ability to meet the requirements for services described in this Solicitation.

(Firm's Name)

(Street Number)

(City and State)

(Zip Code)

12. If other than a government agency

A. When was the Offeror's firm formed? _____

B. If the Offeror's firm is incorporated, provide a list of the names and addresses of the Board of Directors.

ATTACHMENT 6.4

OFFEROR'S PERSONNEL QUALIFICATIONS SOLICITATION No. ED05-0062

INSTRUCTIONS: Complete a separate resume, specifically addressing each of the items listed below, for each person who will be proposed to fill the required positions as listed below. If attachments are used, indicate the item number and heading being referenced as it appears below. Attach to each form, the person's resume, current job description, and position(s) for which the person is proposed.

1. Name of Person.
2. Proposed position for contract service.
3. Position currently held in firm ☐ Owner/Partner
☐ Other _____.
4. Number of years with firm.
5. Number of years of experience within the established labor category.
6. Summarize the experience of the above-named person where applicable to this solicitation.
7. Describe any related education and training (identify degree(s), certification(s), license(s), and professional affiliation(s).
8. Specify any area(s) of _____ school reform and/or school improvement initiatives.
9. Based on the area(s) of expertise specified in Paragraph 6, above, identify the primary function(s) of this person in terms of providing the services required by this solicitation.
10. Indicate the percentage of time this person WILL be assigned to work under any contract resulting from this solicitation.

ATTACHMENT 6.5

OFFEROR'S FINANCIAL DISCLOSURE

SOLICITATION No. ED05-0062

INSTRUCTIONS: Complete each item, using attachments where necessary. If attachments are used, indicate the item number and question being referenced as it appears below.

	<u>YES</u>	<u>NO</u>
1. Are the Offeror's accounting records maintained in accordance with Generally Accepted Accounting Principles (GAAP)?	<input type="checkbox"/>	<input type="checkbox"/>
2. Does the Offeror have an accounting manual?	<input type="checkbox"/>	<input type="checkbox"/>
3. Does the Offeror's firm prepare a public annual financial statement? <i>(If YES, provide a copy of the MOST RECENT annual financial statement with proposal.)</i>	<input type="checkbox"/>	<input type="checkbox"/>
4. Does the Offeror's firm have interim financial statements prepared? <i>(If YES, specify how often.)</i> _____	<input type="checkbox"/>	<input type="checkbox"/>
5. Is the Offeror's firm audited by an independent auditor? <i>(If YES, answer A thru D below.)</i>	<input type="checkbox"/>	<input type="checkbox"/>
A. How often are audits conducted? _____		
B. By whom are they conducted: _____ _____		
C. Provide a copy of the Offeror's most recent audit report and corresponding financial statements. Include reports of Internal Control and Compliance with Federal/Local regulations, if applicable.		
D. Does the Offeror's firm have any uncorrected audit exceptions?	<input type="checkbox"/>	<input type="checkbox"/>
6. Does the Offeror's firm have a formal basis to allocate indirect costs charged to this Contract? <i>(If YES, submit a copy of the allocation plan with proposal.)</i>	<input type="checkbox"/>	<input type="checkbox"/>
7. Are there any suits, judgments, tax deficiencies, or claims pending against the Offeror's firm? <i>(If YES, answer A and B below.)</i>	<input type="checkbox"/>	<input type="checkbox"/>
A. What is the dollar amount? \$ _____		
B. In which state(s)? _____		
8. Has the Offeror's firm ever gone through bankruptcy?	<input type="checkbox"/>	<input type="checkbox"/>

ATTACHMENT 6.6



ARIZONA DEPARTMENT OF ADMINISTRATION RISK MANAGEMENT SECTION

1818 WEST ADAMS
PHOENIX, ARIZONA 85007
FAX 542-1982

SOLE PROPRIETOR WAIVER

NOTE: THIS FORM APPLIES ONLY TO STATE OF ARIZONA AGENCIES, BOARDS, COMMISSIONS AND UNIVERSITIES UTILIZING SOLE PROPRIETORS WITH NO EMPLOYEES. IF YOU ARE CONTRACTING WITH A CORPORATION, LIMITED LIABILITY COMPANY, PARTNERSHIP OR SOLE PROPRIETORS WITH EMPLOYEES, THIS FORM DOES NOT APPLY.

The following is a written waiver under the compulsory Workers' Compensation laws of the State of Arizona, A.R.S. § 23-901 (et. seq.), and specifically, A.R.S. § 23-961(L), that provides that a Sole Proprietor may waive his/her rights to Workers' Compensation coverage and benefits.

I am a sole proprietor and I am doing business as _____ (name of Sole Proprietors Business). I am performing work as an independent contractor for the State of Arizona, _____, for Workers' Compensation purposes, and therefore, I am not entitled to Workers' Compensation benefits from the State of Arizona, _____.

I understand that if I have any employees working for me, I must maintain Workers' Compensation insurance on them.

Name of Sole Proprietor: _____

Social Security Number: _____ Telephone #: _____

Street Address/P.O. Box: _____

City: _____ State: _____ Zip Code: _____

Signature of

Sole Proprietor:

Date:

Agency: Arizona Department of Education Agency #: 455

Signature of Agency

Contract Administrator:

Date:

Both signatures must be signed and the completed form submitted to the State of Arizona, Department of Administration, Risk Management Section, Insurance Unit, 1818 W. Adams, Phoenix, Az 85007. An authorized Risk Management Representative will sign and return to the agency to be maintained in their records.

Signature of Risk Management Authorized Signer

Date

ATTACHMENT 6.7

ASSESSMENT MATRIX

		Likert Scale Rating (Low 1 – 5 High)				
		1	2	3	4	5
1	Capacity to Diagnose academic needs of school and students					
2	Strategic plan for continuous academic improvement					
3	Implementation plan for continuous academic improvement					
4	Assesses school's budget prioritization					
5	Standards aligned Curriculum for District/School					
6	Professional Development on aligned curriculum					
7	Assessment of Standards aligned curriculum					
8	Professional Development on Standards aligned curriculum					
10	Identifiable benchmarks					
11	Intermittent timelines on Student Progress					
12	Monitor progress					
13	Make revisions					
14	Professional Development on Intervention Process					
	PROFESSIONAL DEVELOPMENT INITIATIVES					
15	Teaching strategies					
16	Collaboration/Decision Making					
17	Reflect/Modify					
18	Accountability					
19	Continued, sustained improvement					
	SCHOOL COMMUNITY INVOLVEMENT					
20	Individual stakeholders involvement					
21	Communication methodologies					
22	Student performance					
23	Standards aligned Curriculum					
24	Continuous communications					
	IMPLEMENTATION FOR CONTINUOUS IMPROVEMENT					
25	Written report summarizing status					
26	Submit a comprehensive monthly report					
27	Report to Accountability Unit of ADE					
	ESTABLISH CRITERIA FOR EXITING					
28	Guidelines for the long-term sustainability					
29	Ability to bring the school's achievement profile to the "Performing" level					
30	Policy defining the relationship between contractor and Local School Board					

GUIDING QUESTIONS

1. Schoolwide Reform

- 1.1. Provide a statement of your agency's philosophy as it relates to school reform.
- 1.2. Describe the proposed components of school reform and intervention strategies as they pertain to schools failing to meet academic standards.

2. Curriculum, Instruction and Assessments Reform

- 2.1. Explain the process by which you will collaboratively work to ensure that the curriculum is aligned with the Arizona Academic Standards, the appropriate assessment strategies are used and that there is a professional development plan for schools failing to meet academic standards.

3. Community, climate and communication

- 3.1. Describe how you would involve the school staff, parents, community members and all stakeholder groups in the school improvement process.
- 3.2. Furnish a schematic diagram depicting the:
 - 3.2.1. flow of information;
 - 3.2.2. benchmarks linked to all components of school improvement;
 - 3.2.3. student progress;
 - 3.2.4. performance;
 - 3.2.5. and assessments.

4. Data Management System:

- 4.1. Describe your accountability system for administrators, governing board, faculty, staff, and stakeholders, as it relates to school reform for schools that fail to meet academic standards.
- 4.2. How does this system allow for reporting data to different users?

5. Other Considerations which the Offeror deems significant.

- 5.1. Discuss briefly such considerations or any remaining issues relating to school reform and continuous school improvement.

ATTACHMENT 6.9

OFFEROR'S CHECKLIST SOLICITATION No. ED05-0062

Instructions: Offerors must submit the items listed below. In the column titled "Offeror's Page #", the Offeror must enter the appropriate page number(s) from its Proposal where the ADE evaluators may find the Offeror's response to that requirement.

Required Item	Solicitation Reference:	Offeror's Proposal Page #:
1. Offer and Award Form Signed (2 originals)	Page 1	
2. Contract Administration	Sect. 2, ¶ 11-13	
3. Offeror's Prices	Attachment 6.1	
4. Offeror's References	Attachment 6.2	
5. Offeror's Organization	Attachment 6.3	
6. Offeror's Personnel Qualifications	Attachment 6.4	
7. Offeror's Financial Disclosure	Attachment 6.5	
8. Sole Proprietor Certificate Waiver (if necessary)	Attachment 6.6	
9. Assessment Matrix	Attachment 6.7	
10. Guiding Questions	Attachment 6.8	
11. Offeror's Checklist	Attachment 6.9	
12. Offeror's Section Three response	Sect. 4, ¶ 5.5.3	
13. Offeror's Section Two response	Sect. 4, ¶ 5.5.2	

EXHIBIT 7.1


	<h2 style="margin: 0;">CERTIFICATE OF INSURANCE</h2> <p style="margin: 5px 0;">CONTRACT NO. _____</p> <p style="margin: 5px 0;">VENDOR: _____</p>	ARIZONA DEPARTMENT OF EDUCATION PROCUREMENT SECTION 1535 WEST JEFFERSON, Bin 37 PHOENIX, ARIZONA 85007 (602) 542-6537		
Prior to commencing services under this Contract, the Contractor must furnish the State, certification from insurer(s) for coverages in the minimum amounts as stated below. The coverages shall be maintained in full force and effect during the term of this Contract and shall not serve to limit any liabilities or any other Contractor obligations.				
Name and Address of Insurance Agency::		Company Letter	Companies Affording Coverage:	
		A		
		B		
Name and Address of Insured:		C		
		D		
LIMITS OF LIABILITY MINIMUM - EACH OCCURRENCE	COMPANY LETTER	TYPE OF INSURANCE	POLICY NUMBER	DATE POLICY EXPIRES
Bodily Injury Per Person Each Occurrence Property Damage OR Bodily Injury and Property Damage Combined	\$1,000,000.00 \$2,000,000.00 \$1,000,000.00 _____ \$1,000,000.00	Comprehensive General Liability Form Premises Operations Contractual Independent Contractors Products/Completed Operations Hazard Personal Injury Broad Form Property Damage Explosion & Collapse (If Applicable) Underground Hazard (If Applicable)		
Same as Above		Comprehensive Auto Liability Including Non-Owned (If Applicable)		
Necessary if underlying is not above minimum		Umbrella Liability		
Statutory Limits	\$100,000.00	Workmen's Compensation and Employer's Liability		
		Other		
State of Arizona and the Department named above are added as additional insureds as required by statute, contract, purchase order, or otherwise requested. It is agreed that any insurance available to the named insured shall be primary of other sources that may be available.		It is further agreed that no policy shall expire, be canceled or materially changed to affect the coverage available to the State without thirty (30) days written notice to the State. This Certificate is not valid unless countersigned by an authorized representative of the insurance company.		
Name and Address of Certificate Holder:		Date Issued: _____ _____ <div style="text-align: right;">Authorized Representative</div>		

EXHIBIT 7.2
SAMPLE ONLY

A	B	C	C.1	C.2	D	D.1	E	E.1	F	G	G.1	H	I	J
Item No.	DESCRIPTION OF WORK	SCHEDULED VALUE	CONTRACT QUANTITY	UNITS OF MEASURE	WORK COMPLETED				MATERIALS PRESENTLY STORED (NOT IN D OR E)	TOTAL COMPLETED TO DATE	QUANTITY REMAINING	% COMPLETED	BALANCE TO FINISH	CONTRACT UNIT COST
					FROM PREVIOUS APPLICATION (D+E)	QUANTITY COMPLETED PREVIOUS	THIS PERIOD	QUANTITY COMPLETED THIS PERIOD						
1	Diagnose the academic needs of school and students													
2	Develop a strategic plan for continuous academic improvement													
3	Implementation of strategic plan for continuous academic improvement													
4	Audit and assesses school's budget prioritization													
5	Align curriculum standards for District/School													
7	Develop school/district assessments for a standards based and aligned curriculum													

END OF SOLICITATION NO. ED05-0062